

**St. Joseph's College of Commerce (Autonomous)**  
**#163, Brigade Road, Bangalore - 560 025**  
**LESSON PLAN - V FINANCE ELECTIVE -**

**Dr. SRIDHAR L S**

**EL 15 FN 505 : FINANCIAL INSTITUTIONS AND MARKETS**

**Lecture hours: 60**

**Objective:**

The objective of this paper is to familiarize students with different aspects and components of financial institutions and financial markets in This Will enable them to take the rational decision in the financial environment.

<b>SL.NO</b>	<b>UNIT &amp; OBJECTIVES</b>	<b>NO OF LECTURE HOURS</b>	<b>TEACHING METHODS</b>	<b>EVALUATION/ LEARNING CONFIRMATION</b>
<b>1</b>	<b>Indian Financial System</b>	<b>4 Hrs.</b>		
	Evolution of Indian Financial System	1	Lecture through PPT	Questions & Answers
	Structure (sub systems) of Indian Financial System	1	Lecture through PPT,	Questions & Answers
	Introduction to Market, Instruments and Institution and Services	2	Lecture through PPT	Questions & Answers
<b>2</b>	<b>Financial Institutions</b>	<b>8 Hrs</b>		
	Overview of Financial Institutions - Nature and Role of Banks	1	Lecture through PPT	Case study & Discussion
	Commercial Banks, Regional Banks, Rural Development Banks Co-operative Banks, Exim Banks, Investment Banks and Merchant Banks	4	Lecture through PPT	Questions and Answers
	NBFCS and Mutual Funds	1	Lecture through PPT	Questions and Answers
	Role of Regulatory Bodies : RBI, SEBI objectives and functions DCA & Stock Exchanges	2	Lecture through PPT	Questions and Answers
<b>3</b>	<b>Financial Markets</b>	<b>6 Hrs.</b>		
	Role and Importance and Classification of Financial Markets	2	Lecture through PPT & Video	Questions & Answers
	Linkages between Economy and Financial Markets	2	Lecture through PPT	Questions & Answers
	Factors affecting Financial Markets, Integration of Indian with Global Markets	2	Lecture through PPT	Questions & Answers
<b>4</b>	<b>Primary Market</b>	<b>14 Hrs</b>		
	Role and importance - public issue through prospectus, Green Shoe Option , Offer for Sale, Private Placement, Right Issue	4	Lecture through PPT	Case study & Discussion
	IPO Book Building shares, ESOP, Preferential	6	Lecture	Questions and

	Issues of Shares, Venture Capital, Private Equity		through PPT	Answers
	Performance of primary market in India – Corporate Listing: Listing Requirements and Process- Delisting Corporate Stocks	4	Lecture through PPT	Questions and Answers
5	Secondary Market	<b>18 Hrs</b>		
	Regional and Modern Stock Exchanges- International Stock Exchanges – Indian Stock Indices and their Construction Free Float Vs Full Float Methodology	8	Lecture through PPT	Questions & Answers
	Stock markets instruments – Equity Shares, Debentures, Bonds, & Derivatives: Equity, Commodities, Currency and Indices,	5	Lecture through PPT & Videos	Questions & Answers
	Trading and Settlement & Demat Accounts – Types of Orders- Margin Trading, VaR Marging, Settlement Mechanism	5	Lecture through PPT, Cases Study and Videos	Questions & Answers
6	<b>Money Market</b>	<b>10 Hrs</b>		
	Role and participant in Money Markets – Segments of Money Markets : Call Money Markets, Repos and Reverse Repo Concepts.	5	Lecture through PPT	Questions & Answers
	Treasury Bill Markets, Market for Commercial Paper, Commercial Bills, and Certificate of Deposit – Role of STCI and DHFI in Money Market	5	Lecture through PPT & Videos	Questions & Answers

**CIA Components:**

The tentative date to complete the CIA is 12<sup>th</sup> August, 2018. The evaluation scheme is based on the comprehensive and analytical skills of students for the below criteria.

1. Assignment
2. Excel calculation
3. Seminar